

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:

LEHMAN BROTHERS HOLDINGS, INC., *et al.*

Debtors.

----- X  
: Chapter 11  
:  
: Case No. 08-13555 (SCC)  
:  
: (Jointly Administered)  
:  
: Ref. Docket Nos. 53481, 53490,  
: 53508, 53518, 53520, 53522,  
: 53523, 53524, 53525, 53538,  
: 53539, 53540, 53541, 53542,  
: 53543, 53544, 53545, 53548  
----- X

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

FORREST KUFFER, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On August 25, 2016, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated August 25, 2016, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Forrest Kuffer  
Forrest Kuffer

Sworn to before me this  
30<sup>th</sup> day of August, 2016  
/s/ Sidney J. Garabato

Notary Public, State of New York  
No. 01GA6218946  
Qualified in New York County  
Commission Expires March 15, 2018

## **EXHIBIT A**

In re
LEHMAN BROTHERS HOLDINGS INC., et al.,
Debtors.

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

DEUTSCHE BANK AG, LONDON BRANCH  
TRANSFEROR: CASPIAN FOCUSED CREDIT L FUND, L.P.  
ATTN: MICHAEL SUTTON  
WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET  
LONDON EC2N 2DB  
UNITED KINGDOM

Please note that your claim # 55174-03 in the above referenced case and in the amount of  
\$1,091,267.62 allowed at \$1,087,152.88 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000110021170 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000176028



BKM HOLDINGS (CAYMAN) LTD.  
TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH  
C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT  
ATTN: JENNIFER DONOVAN  
520 MADISON AVENUE, 30TH FLOOR  
NEW YORK, NY 10022

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 53548 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 08/25/2016 Vito Genna, Clerk of Court

/s/ Lauren Rodriguez  
\_\_\_\_\_  
EPIQ BANKRUPTCY SOLUTIONS, LLC  
as claims agent for the debtor(s).

## **EXHIBIT B**

Claim Name	Address Information
BANK JULIUS BAER & CO. LTD.	ATTN: MICHAEL GERNY, ATTN: PATRIK ROOS, BAHNHOFSTRASSE 36, ZURICH CH-8010 SWITZERLAND
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BORHO, MR. CLEMENS AND MS. STEFANIE BORHO	TRANSFEROR: VR-LIW GMBH, AGNES-VON-BRANDIS-WEG 16, 79713 BAD SACKINGEN GERMANY
CREDIT SUISSE (LUXEMBOURG) S.A.	ATTN: PAUL GILMORE, ELEVEN MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE (LUXEMBOURG) S.A.	CRAVATH, SWAINE & MOORE LLP, WORLDWIDE PLAZA, ATTN: RICHARD LEVIN, 825 EIGHTH AVENUE, NEW YORK, NY 10019
CREDIT SUISSE (LUXEMBOURG) S.A.	RICHARD LEVIN, ESQ., CRAVATH, SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019
CREDITO EMILIANO S.P.A.	ATTN: MR. LUCA MARIANI & EFISIO BERTRAND, VIA EMILIA S. PIETRO, 4, REGGIO EMILIA 42100 ITALY
CREDITO EMILIANO S.P.A.	ATTN: EFISIO BERTRAND, VIA EMILIA SAN PIETRO, N. 4, REGGIO EMILIA 42121 ITALY
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: RBS COUTTS BANK AG, ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: RBS COUTTS BANK AG, ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: RBS COUTTS BANK AG, ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: CASPIAN FOCUSED CREDIT L FUND, L.P., ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: CASPIAN FOCUSED CREDIT L FUND, L.P., ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: CASPIAN FOCUSED CREDIT L FUND, L.P., ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: CASPIAN FOCUSED CREDIT L FUND, L.P., ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
ILLIQUIDX SECURITIES LIMITED	TRANSFEROR: CREDITO EMILIANO S.P.A., ATTN: MR. CELESTINO AMORE, 80 FLEET STREET, LONDON EC4Y 1EL UNITED KINGDOM
ILLIQUIDX SECURITIES LIMITED	TRANSFEROR: BROKWEL MANAGEMENT INC., ATTN: CELESTINO AMORE, 80 FLEET STREET, LONDON EC4Y 1EL UNITED KINGDOM
ILLIQUIDX SECURITIES LIMITED	TRANSFEROR: BROKWEL MANAGEMENT INC., ATTN: CELESTINO AMORE, 80 FLEET STREET, LONDON EC4Y 1EL UNITED KINGDOM
ILLIQUIDX SECURITIES LIMITED	TRANSFEROR: BROKWEL MANAGEMENT INC., ATTN: MR CELESTINO AMORE, 80 FLEET STREET, LONDON EC4Y 1EL UNITED KINGDOM
KING STREET ACQUISITION COMPANY, LLC	TRANSFEROR: MORGAN STANLEY & CO. INTERNATIONAL PLC, ATTN: BANK DEBT, 65 EAST 55TH STREET, 30TH FLOOR, NEW YORK, NY 10022
KING STREET ACQUISITION COMPANY, LLC	TRANSFEROR: MORGAN STANLEY & CO. INTERNATIONAL PLC, ATTN: BANK DEBT, 65 EAST

Claim Name	Address Information
KING STREET ACQUISITION COMPANY, LLC	55TH STREET, 30TH FLOOR, NEW YORK, NY 10022
KING STREET ACQUISITION COMPANY, LLC	TRANSFEROR: MORGAN STANLEY & CO. INTERNATIONAL PLC, ATTN: BANK DEBT, 65 EAST 55TH STREET, 30TH FLOOR, NEW YORK, NY 10022
KING STREET ACQUISITION COMPANY, LLC	TRANSFEROR: MORGAN STANLEY & CO. INTERNATIONAL PLC, ATTN: BANK DEBT, 65 EAST 55TH STREET, 30TH FLOOR, NEW YORK, NY 10022
KING STREET ACQUISITION COMPANY, LLC	TRANSFEROR: MORGAN STANLEY CAPITAL SERVICES, LLC, 65 EAST 55TH STREET, 30TH FLOOR, NEW YORK, NY 10022
KING STREET ACQUISITION COMPANY, LLC	TRANSFEROR: MORGAN STANLEY CAPITAL SERVICES, LLC, ATTN: BANK DEBT, 65 EAST 55TH DTREET, 30TH FLOOR, NEW YORK, NY 10022
MEYER, JACQUELINE	TRANSFEROR: CREDIT SUISSE (LUXEMBOURG) S.A., 6, ROUTE DE RELSDORF, BEAUFORT L-6311 LUXEMBOURG
MORGAN STANLEY & CO. INTERNATIONAL PLC	F/K/A MORGAN STANLEY & CO. INTERNATIONAL LIMITED, C/O MORGAN STANLEY & CO. INCORPORATED, ATTN: CHIEF LEGAL OFFICER, 1585 BROADWAY, NEW YORK, NY 10036-8293
MORGAN STANLEY & CO. INTERNATIONAL PLC	CADWALADER, WICKERSHAM & TAFT LLP, ATTN: MARK C. ELLENBERG, 700 SIXTH STREET, N.W., WASHINGTON, DC 20001
MORGAN STANLEY & CO. INTERNATIONAL PLC	1585 BROADWAY, NEW YORK, NY 10036-8293
MORGAN STANLEY & CO. INTERNATIONAL PLC	ATTN: COUNTERPARTY PORTFOLIO MANAGEMENT GROUP, C/O MORGAN STANLEY & CO. INCORPORATED, 1585 BROADWAY, 3RD FLOOR, NEW YORK, NY 10036-8293
MORGAN STANLEY & CO. INTERNATIONAL PLC	1585 BROADWAY, NEW YORK, NY 10036-8293
MORGAN STANLEY & CO. INTERNATIONAL PLC	ATTN: COUNTERPARTY PORTFOLIO MANAGEMENT GROUP, C/O MORGAN STANLEY & CO. INCORPORATED, 1585 BROADWAY, 3RD FLOOR, NEW YORK, NY 10036-8293
MORGAN STANLEY & CO. INTERNATIONAL PLC	F/K/A MORGAN STANLEY & CO. INTERNATIONAL LIMITED, C/O MORGAN STANLEY & CO. INCORPORATED, ATTN: CHIEF LEGAL OFFICER, 1585 BROADWAY, NEW YORK, NY 10036-8293
MORGAN STANLEY & CO. INTERNATIONAL PLC	CADWALADER, WICKERSHAM & TAFT LLP, ATTN: MARK C. ELLENBERG, 700 SIXTH STREET, N.W., WASHINGTON, DC 20001
MORGAN STANLEY & CO. INTERNATIONAL PLC	CADWALADER, WICKERSHAM & TAFT LLP, ATTN: HOWARD R. HAWKINS, ONE WORLD FINANCIAL CENTER, NEW YORK, NY 10281
MORGAN STANLEY & CO. INTERNATIONAL PLC	C/O MORGAN STANLEY & CO. INCORPORATED, ATTN: COUNTERPARTY PORTFOLIO MANAGEMENT GROUP, 1585 BROADWAY, 3RD FLOOR, NEW YORK, NY 10036-8293
MORGAN STANLEY & CO. INTERNATIONAL PLC	MARK C. ELLENBERG, ESQ., C/O CADWALADER, WICKERSHAM & TAFT LLP, 700 SIXTH STREET, N.W., WASHINGTON, DC 20001
MORGAN STANLEY & CO. INTERNATIONAL PLC	HOWARD R. HAWKINS, ESQ., C/O CADWALADER, WICKERSHAM & TAFT LLP, ONE WORLD FINANCIAL CENTER, NEW YORK, NY 10281
MORGAN STANLEY & CO. INTERNATIONAL PLC	MARK C. ELLENBERG, ESQ., C/O CADWALADER, WICKERSHAM & TAFT LLP, 700 SIXTH STREET, N.W., WASHINGTON, DC 20001
MORGAN STANLEY & CO. INTERNATIONAL PLC	HOWARD R. HAWKINS, ESQ., C/O CADWALADER, WICKERSHAM & TAFT LLP, ONE WORLD FINANCIAL CENTER, NEW YORK, NY 10281
MORGAN STANLEY & CO. INTERNATIONAL PLC	CADWALADER, WICKERSHAM & TAFT LLP, ATTN: HOWARD R. HAWKINS, ONE WORLD FINANCIAL CENTER, NEW YORK, NY 10281
MORGAN STANLEY & CO. INTERNATIONAL PLC	C/O MORGAN STANLEY & CO. INCORPORATED, ATTN: COUNTERPARTY PORTFOLIO MANAGEMENT GROUP, 1585 BROADWAY, 3RD FLOOR, NEW YORK, NY 10036-8293
MORGAN STANLEY CAPITAL SERVICES, LLC	F/K/A MORGAN STANLEY CAPITAL SERVICE INC., ATTN: CHIEF LEGAL OFFICER, 1585 BROADWAY, NEW YORK, NY 10036-8293
MORGAN STANLEY CAPITAL SERVICES, LLC	CADWALADER, WICKERSHAM & TAFT LLP, ATTN: MARK C. ELLENBERG, 700 SIXTH STREET, NW, WASHINGTON, DC 20001
MORGAN STANLEY CAPITAL SERVICES, LLC	TRANSFEROR: AVENUE TC FUND, LP, ATTN: CHIEF LEGAL OFFICER, 1585 BROADWAY, NEW YORK, NY 10036-8293
MORGAN STANLEY CAPITAL SERVICES, LLC	MARK C. ELLENBERG, ESQ., CADWALDER, WICKERSHAM & TAFT LLP, 700 SIXTH STREET N.W., WASHINGTON, DC 20001
MORGAN STANLEY CAPITAL SERVICES, LLC	COUNTERPARTY PORTFOLIO MANAGEMENT GROUP, 1585 BROADWAY, 3RD FLOOR, NEW YORK, NY 10036-8293

**SERVICE LIST**  
Pg 7 of 7

Claim Name	Address Information
MORGAN STANLEY CAPITAL SERVICES, LLC	CADWALADER, WICKERSHAM & TAFT LLP, ATTN: HOWARD R. HAWKINS, ONE WORLD FINANCIAL CENTER, NEW YORK, NY 10281
MORGAN STANLEY CAPITAL SERVICES, LLC	HOWARD R. HAWKINS, ESQ., CADWALDER, WICKERSHAM & TAFT LLP, ONE WORLD FINANCIAL CENTER, NEW YORK, NY 10281
MORGAN STANLEY CAPITAL SERVICES, LLC	COUNTERPARTY PORTFOLIO MANAGEMENT, MORGAN STANLEY CAPITAL SERVICES LLC, 1585 BROADWAY, 3RD FLOOR, NEW YORK, NY 10036
MORGAN STANLEY CAPITAL SERVICES, LLC	JOHN RAGUSA, MORGAN STANLEY CAPITAL SERVICES LLC, 1585 BROADWAY, 2ND FLOOR, NEW YORK, NY 10036
MORGAN STANLEY CAPITAL SERVICES, LLC	MANAGING CLERK, RICHARDS KIBBE & ORBE LLP, 200 LIBERTY STREET, NEW YORK, NY 10281
RBS COUTTS BANK AG	STAUFFACHERSTRASSE 1, POSTFACH, ZURICH 8022 SWITZERLAND
RBS COUTTS BANK AG	STAUFFACHERSTRASSE 1, POSTFACH, ZURICH 8022 SWITZERLAND
RBS COUTTS BANK AG	STAUFFACHERSTRASSE 1, POSTFACH, ZURICH 8022 SWITZERLAND
SILVER POINT CAPITAL OFFSHORE MASTER FUND, L.P.	TRANSFEROR: ILLIQUIDX SECURITIES LIMITED, ATTN: DAVID STEINMETZ, SILVER POINT CAPITL, L.P., TWO GREENWICH PLAZA, GREENWICH, CT 06830
SILVER POINT CAPITAL OFFSHORE MASTER FUND, L.P.	TRANSFEROR: ILLIQUIDX SECURITIES LIMITED, ATTN: DAVID STEINMETZ, SILVER POINT CAPITAL, L.P., TWO GREENWICH PLAZA, GREENWICH, CT 06830
SILVER POINT OFFSHORE MASTER FUND, L.P.	TRANSFEROR: ILLIQUIDX SECURITIES LIMITED, ATTN: DAVID STEINMETZ, SILVER POINT CAPITAL, L.P., TWO GREENWICH PLAZA, GREENWICH, CT 06830
UBS AG	BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, Q9C/O5GC, P.O. BOX, ZURICH 8098 SWITZERLAND
UNION BANCAIRE PRIVEE, UBP SA	TRANSFEROR: BANK JULIUS BAER & CO. LTD., 96-98 RUE DU RHONE, 1211 GENEVA SWITZERLAND
VR-LIW GMBH	GUNNAR MANGEL-GENERAL MANAGER, GABELSBERGERSTRASSE 1A, HAMM 59069 GERMANY
VR-LIW GMBH	GUNNAR MANGEL-GENERAL MANAGER, GABELSBERGERSTRASSE 1A, HAMM 59069 GERMANY
VR-LIW GMBH	GUNNAR MANGEL-GENERAL MANAGER, GABELSBERGERSTRASSE 1A, HAMM 59069 GERMANY
WEGENER, DR. JOCHEN	TRANSFEROR: VR-LIW GMBH, AN DER ZIEGELHUTTE 7, 38871 ILSENBURG GERMANY
WEGENER, MS. MARIANNE	TRANSFEROR: VR-LIW GMBH, AN DER ZIEGELHUTTE 7, 38871 ILSENBURG GERMANY
WEISS, HEINRICH	TRANSFEROR: UBS AG, INN RANK 140, 6300 ZUG SWITZERLAND

<b>Total Creditor Count 72</b>
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